



44/3627

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 99,853)

In re Application of:

Kaminsky, et al.

Serial No.: 09/475,534

Filed: December 30, 1999

For: Automated Trading Exchange System
Having Integrated Quote Risk Monitoring
and Integrated Quote Modification Services

Art Unit: 3627

Examiner: Rudy, Andrew J.

TRANSMITTAL LETTER

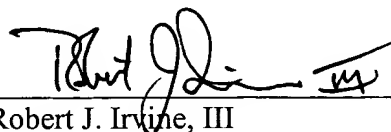
Commissioner for Patents
Washington, D.C. 20231

Sir:

In regard to the above identified application:

1. We are transmitting herewith the attached:
 - a: Response to Restriction Requirement Mailed September 30, 2002; and
 - b: Return Receipt Postcard.
2. With respect to fees:
 - a. No Additional Fees are due.
3. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Response, Commissioner for Patents, Washington, D.C. 20231 on October 30, 2002.

By:



Robert J. Irvine, III

Reg. No. 41,865

McDonnell, Boehnen Hulbert & Berghoff
300 South Wacker Drive
Chicago, Illinois 60606

RECEIVED
NOV 08 2002
GROUP 3600